PTO/SB/21 (08-00)

ease type a plus sign (+) inside this box —> [+] Approved for use through 10/31/2002. OMB 0651-0031

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PE C			Appli	cation Numb r	10/041,9	956	
TRANSMITTAL FORM (to be used to all correspondence after initial filing)			Filing	Date	October 18, 2001		
			First Named Inventor		Kassovski, Viktor V.		
			Group Art Unit		2878		
			Examiner Name		Unassigned		
Total Number of Pages in This Submission 11			Attorn	ey Docket Number	016998-002600US		
		ENCLO	SURES	(check all that apply)			
Fee Transmittal	Form		ment Pa	pers (+ Coversheet)	After Allowance Communication to Group		
Fee Attached	d	Drawing(s)			Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	esponse	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information		
Affidavits/declaration(s)		Petition to Convert to a Provisional Application			Status Letter		
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address				r Enclosure(s) e identify below):	
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund			Return Postcard; Declaration; Copy of Notice to be returned.		
☐ Information Disclosure Statement		CD, Number of CD(s)			Copy of N	louce to be returned.	
Certified Copy of Priority Document(s)		Remar		<u> </u>	authorized to charge any additional fees to 30.		
Response to Missing Parts/ Incomplete Application			COPY OF PAPERS ORIGINALLY FILED			Y OF PAPERS INALLY FILED	
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNA	TURE OF A	PPLIC	ANT, ATTORNEY, OF	RAGENT		
Firm and	Townsend and Tov	vnsend and C	rew LLF)			
Individual name Gerald T. Gray				Reg. No.	41,797		
Signature Mall T. May							
Date April <u>/ 0,</u> 2002							
		CER	TIFICA	TE OF MAILING			
				the United States Posta r for Patents, Washington		th sufficient postage as first 31 on this date: April P., 2002	
Typed or printed nam	e Jennifer Hardi	n					
Signature Jennja			Had	di	Date	April 12, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

WC 9040002 v1

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

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PEREE TRANSMITTAL for FY 2001			Complete If Known							
			Application Number 10/041,956							
្ត _{ាល} ខ្លួfor FY 2001		Filing I	Date		Octol	Der 18, 2001 ORIGINA	FPAPER			
13 2 E		First Named Inventor			Cottober 18, 2001 ORIGINALLY FIL					
atent fees are subject to annual revision.			Examiner Name			Unassigned				
Self Control of Subject to annual revision.			Group Art Unit							
TAY & TRAOFAY		Group	Art Unit		2878					
TOTAL AMOUNT OF PAYMENT (\$) 1190		Attorne	y Docke	t No.	0169	98-002600US				
METHOD OF PAYMENT					FEE C	ALCULATION (continued)				
1. The Commissioner is hereby authorized to che indicated fees and credit any over payments to		3. ADD	ITIONAL	FEES						
more and are a contrary over payments to	·	Large	Entity	Small			F			
Deposit 00 4420		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			
Account 20-1430		105	130	205	65	Surcharge - late filing fee or oath	130			
Deposit		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
Account Townsend and Townsend and Crew LLP		139	130	139	130	Non-English specification				
Name		147	2,520	147	2,520	For filing a request for reexamination	<u> </u>			
	-	112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
Applicant claims small entity status. See 37 CFR 1.27		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action				
2. Payment Enclosed:		115	110	215	55	Extension for reply within first month				
☐ Check ☐ Credit card ☐ Money ☐ Other Order		116	400	216	200	Extension for reply within second month				
FEE CALCULATION	i	117	920	217	460	Extension for reply within third month	<u>—</u> і і			
1. BASIC FILING FEE		118	1,440	218	720	Extension for reply within fourth month				
Large Entity Small Entity		128	1,960	228	980	Extension for reply within fifth month				
Fee Fee Fee Fee Description		119	320	219	160	Notice of Appeal				
		120	320	220	160	Filing a brief in support of an appeal	<u> </u>			
101 740 201 370 Utility filing fee 740 106 330 206 165 Design filing fee	<u>'</u>	121	280	221	140	Request for oral hearing	L I			
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding				
108 740 208 370 Reissue filing fee		140	110	240	55	Petition to revive – unavoidable	<u> </u>			
114 160 214 80 Provisional filing fee		141	1,280	241	640	Petition to revive – unintentional	I			
SUBTOTAL (1) (\$)7	10	142	1,280	242	640	Utility issue fee (or reissue)				
(1) (1)		143	460	243	230	Design issue fee	 			
2. EXTRA CLAIM FEES	- 1	144 122	620 130	244 122	310 130	Plant issue fee Petitions to the Commissioner	I			
	Paid					Petitions related to provisional	<u> </u>			
	0	123	50	123	50	applications				
Independent Claims 2 -3** = 0 X \$84 = \$	0	126	180	126	180	Submission of Information Disclosure Stmt				
Multiple Description 1 X \$280 = \$	280	581	40	581	40	Recording each patent assignment per property (times number of	40			
Dependent '		440	740	040	222	properties)				
Fee Fee Fee Fee Description		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	ľ	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))				
102 84 202 42 Independent claims in excess 104 280 204 140 Multiple dependent claim, if no		179	740	279	370 F	Request for Continued Examination (RCE)				
109 84 209 42 ** Reissue independent daim		169	900	169		Request for expedited examination of a design application				
Onginal patent	f 20 and	Other fee	specify)		oi a design application				
110 18 210 9 Neissue dains if excess 0 over original patent	1		., ,		thorizo	to charge any additional fees to				
SUBTOTAL (2) (\$)280		the abov					-			
		*Reduce	ed by Bas	ic Filing	Fee Pai	d SUBTOTAL (3) (\$) 17	70			
**or number previously paid, if greater; For Reissues, see above										

SUBMITTED BY					Complete (if applicable)		
Name (Print/Type)	Gerald T. Gray	Registration No. (Attorney/Agent)	41,797	Telephone	925-472-5000		
Signature	Mahl	T. May		Date	April 10, 2002		

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6T6RA 6 60 45

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/041.956

10/18/2001

Viktor V. Kassovski

016998-002600US

20350

TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 4918

FORMALITIES LETTER

OC000000007473919*

Response Due

4-14-02 ms

Date Mailed: 02/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37, CFR 1 27)
- Total additional claim fee(s) for this application is \$280.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1150.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

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